

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):  
Ming-Chih Chang, et al.

Serial No.: 09/997,137

Filed: November 28, 2001

For: "CENTRALIZED NETWORK STORAGE  
SYSTEM, BOTH SERVER AND  
TRANSFORMING DEVICE FOR THE  
SYSTEM"



Re: VERIFIED STATEMENT  
CLAIMING SMALL ENTITY STATUS

Group Art Unit: unknown

Examiner: unknown

Our Ref.: B-4394 619332-2

Date: June 20, 2002

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

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Technology Center 2100

Sir:

Enclosed for filing in the subject application is the executed Verified Statement Claiming Small Entity Status (Small Business Concern) signed by the Chief Executive Officer of ICP Electronics, Inc., Po-Ta Kuo.

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service with sufficient postage as  
first-class mail in an envelope addressed  
to the "Commissioner of Patents and  
Trademarks, Washington, D.C. 20231",  
on June 20, 2002 by Courtney Comstock.

Respectfully submitted,

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Attorney for Applicant  
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Enclosure: Verified Statement Claiming Small Entity Status  
(Small Business Concern) (1 page)

Applicant or Patentee: Ming-Chih CHANG and Tse-Ming LIN Attorney's B-4394  
Serial or Patent No.: 09/997,137 Docket No.: 619332-2  
Filed or Issued: November 28, 2001  
For: CENTRALIZED NETWORK STORAGE SYSTEM, BOTH SERVER AND TRANSFORMING DEVICE FOR THE SYSTEM

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) and 1.27 (c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ( ) the owner of the small business concern identified below:  
(x) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN ICP Electronics Inc.

ADDRESS OF CONCERN 4F, No. 22, Chung-Hsing Rd., Shi-Chi City, Taipei Hsien, 221, Taiwan, R.O.C.

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled CENTRALIZED NETWORK STORAGE SYSTEM, BOTH SERVER AND TRANSFORMING DEVICE FOR THE SYSTEM RECEIVED  
by inventor(s) Ming-Chih CHANG and Tse-Ming LIN  
described in

( ) the specification filed herewith

(X) application serial no. 09/997,137, filed November 28, 2001 Technology Center 2100

( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37.CFR 1.9(e). \*NOTE: Separate verified statements are required from each person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Kuo, Po-Ta

TITLE OF PERSON OTHER THAN OWNER CHIEF EXECUTIVE OFFICER

ADDRESS OF PERSON SIGNING 4F, No. 22, Chung-Hsing Rd., Shi-Chi City, Taipei Hsien, 221, Taiwan, R.O.C.

SIGNATURE [Signature] DATE 04/26/02